

## Council Work Plan 2022

<b>Purpose</b>	<b>Noting</b>
<b>Author:</b>	<b>Head of Executive Office</b>
<b>Approver:</b>	<b>Chief Executive</b>

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### Summary

At its meeting on 12 November 2020, the Council reviewed progress against Business Plan activities and agreed to adopt a 3-year rolling approach to Business Plan development.

The current Work Plan for the Council, which includes 2022 Business Plan activities at Appendix A.

A review of Key Performance Indicators has been scheduled for report to the Council's next meeting to enable it to take account of an internal audit of KPI's and Management Information, the final report of which has been delayed.

Risk appetite has not been built into the Work Plan, but will be further considered within our risk reporting and strategy development, in addition to business planning.

The Work Plan for 2023 will be reported to the Council's next meeting on 10 November 2022.

### Recommendations

The Council is invited to note its forthcoming Work Plan.

### Risk management

None for the purposes of this report. Risk implications will be discussed within individual reports.

### Financial impact

None for the purposes of this report. Financial implications will be discussed within individual reports.

### Regulatory Objectives

Where appropriate, the CLC's Regulatory Objectives will be included within individual reports.

**Diversity and inclusion impact**

None for the purposes of this report. Diversity and inclusion implications will be discussed within individual reports.

**Communications requirements**

None for the purposes of this report.

**Publication status**

For publication.

Annual Cycle	10 February 2022 Council Meeting Q4 Results	10 March 2022 Workshop	24 March 2022 Council meeting Annual Financial Statements	12 May 2022 Council Workshop	12 May 2022 Council meeting	22 June 2022 Council Workshop	28 July 2022 Council meeting Q2 Results	29 September 2022 Workshop	10 November 2022 Council meeting Q3 Results	Council Workshop December 2022	Issues for future discussion
Strategy and Planning	2022 Business Plan  2022 Budget			Strategy and Financial Planning	2022 Business Plan Update	Strategy and Financial Planning	2022 Business Plan Half Year Update  Strategy Development: Next Steps	Strategy and Financial Planning	2022 Business Plan Update	2023 Business Plan	
Performance and Risk	<b>Management Report</b> <ul style="list-style-type: none"> <li>Q4 Budget Outturn</li> <li>Interventions and Watchlist</li> <li>Compensation fund</li> <li>Business Plan 2021 outturn</li> <li>Property News Round up</li> <li>Key Performance Indicators</li> </ul> Principal Risk Register  CEO report			Risk Management Policy	<b>Management Report</b> <ul style="list-style-type: none"> <li>Q1 Budget Outturn</li> <li>Interventions and Watchlist</li> <li>Compensation fund</li> <li>Business Plan 2022 Progress</li> <li>Property News Round up</li> <li>Key Performance Indicators</li> </ul> Principal Risk Register  CEO report		<b>Management Report</b> <ul style="list-style-type: none"> <li>Q2 Budget Outturn</li> <li>Interventions and Watchlist</li> <li>Compensation fund</li> <li>Business Plan 2022 progress</li> <li>Property News Round up</li> <li>Key Performance Indicators</li> </ul> Principal Risk Register  Risk Management Policy Review  Reserving Policy Review and Update  CEO report		<b>Management Report</b> <ul style="list-style-type: none"> <li>Q3 Budget Outturn</li> <li>Interventions and Watchlist</li> <li>Compensation fund</li> <li>Business Plan 2022 progress</li> <li>Property News Round up</li> <li>Key Performance Indicators</li> </ul> Principal Risk Register  Review of KPI's  CEO report		
Governance	Annual Committee Chairs' reports  LSB Regulatory Performance Assessment  Review of and Transparency arrangements and Publication Scheme	Practice Fee Setting	External Auditor's Report and Management Letter  CLC Financial Statements 2021		Committee Chairs' Reports  Adjudication Panel Constitution Rules  Compensation Fund Operating Framework  Compensation Fund Determinations update  NED Recruitment		Committee Chairs' reports  Annual Review of Council Terms of Reference  Annual Report – Adjudication Panel  Compensation Fund Operating Framework Review Progress		Committee Chairs' reports		
Regulatory Activity	Joint Regulator Statement on Disciplinary Sanctions and Equality, Diversity and Inclusion  Compensation Fund Determination	PII Consultation					Regulatory Fee setting  Code of Conduct: Ethical Principles  Compensation Fund Determination		Annual Regulatory Return  Compensation Fund Determination  Disciplinary Process and Sanctions  Code of Conduct  Licensing Framework		
Ad Hoc Business Plan Items	Annual Evaluation of Communications LSB Business Plan 2022/23 Consultation Response	Education & Professional Competency			Equality Code Review		Annual Review of Education  Review of CPD		Equality Code  Diversity Monitoring Survey		