



Routes to entry onto SQA CLC Diplomas — Candidates with other legal qualifications awarded in **England and Wales.** This document has seven sections marked A to G. Please read all seven sections.

# A. Applying for a CLC first qualifying licence

Granting a CLC first qualifying licence is subject to other licensing requirements being satisfied in addition to educational attainment. Centres are not in a position to anticipate the outcome of an application for a CLC first qualifying licence before it has been determined by the CLC.

## **B. Entry requirements**

There are no entry requirements for candidates wishing to study an SQA Level 4 Diploma. There is an expectation that candidates wishing to study an SQA Level 6 Diploma will have first obtained either legal qualifications (or units) at Level 4 or completed a Legal Apprenticeship at Level 3 or above.

# C. Law Degrees obtained outside of England and Wales

Candidates who have achieved a law degree outside of England and Wales — provided it is based on a jurisdiction derived from English common law —can progress directly onto an SQA Level 6 Diploma.

# D. Dual and cross qualifying Professionals. Qualified lawyers and STEP Probate Practitioners

- 1. Solicitors, FCILEx, F.NALP and F.P.NALP lawyers (with a valid practising certificate free from conditions) that have complete conveyancing and probate electives)
- 2. Candidates that have successfully completed CILEx/Paralegal/Solicitor Apprenticeships at Level 6 and 7 (with conveyancing or probate pathways)
- 3. Step Practitioners that have achieved either: the STEP Diploma in Trusts and Estates England & Wales or the STEP Diploma in Will Preparation England & Wales

In order to apply for a first qualifying CLC licence there are no further educational requirements. However, ALL dual and cross qualifying professionals must provide evidence of their past passed electives

(conveyancing or probate) and are required to complete and submit with their application **a Statement of Practical Experience**, for 1200 hours, signed and verified by an Authorised Person (with the appropriate practise rights), with a current and valid licence.

### E. Legal Practice Course

Candidates that have obtained a Law Degree, LLB (and BA with Law) or GDL **AND an LPC** including having **PASSED** the appropriate occupational core units

- i. Property Law and Practice (or)
- ii. Wills and Administration of Estates

## Along with the related non-core occupational elective:

- I. Advanced Commercial Property; (or)
- II. Private Client elective 'Wills, Probate and Estate Planning'); and
- III. Solicitors accounts.

*Any units that cannot be verified as passed be must be completed on the relevant occupational SQA Level 6 Diploma.* 

When all core and electives units can be evidenced as Passed these candidates are able to progress straight onto complete the occupational elements (1200 hours verified by a statement of practical experience) of apply for a first qualifying licence.

Centres <u>must</u> verify on the candidates LPC transcript that they have **passed** the core and elective u=conveyancing or probate units on the LPC. Any missing or failed units must be PASSED under the SQA Diploma Level 6 equivalent unit (see section 11); before the candidate is eligible to proceed to apply for a first qualifying licence.

### F. Important notes

- 1. Candidates must be able to provide evidence that they have <u>PASSED</u> the **qualification**, **unit or elective that** they are intending to use for exemption.
- 2. Candidates intending to complete the SQA Diplomas in Conveyancing AND Probate Level 4 or 6 are ONLY required to PASS one of the two Accounts units and can be exempt from the other.
- 3. Partial exemption for units obtained below Level 4 is only permitted, with the exception of the CILEx units and paralegal Apprenticeships at Level 3, which are subject to the achievement of at mandatory SQA units at Level 4.

- 4. NALP Level 7 Postgraduate Diploma in Paralegal Practice (short course) centres should refer to the candidate's substantive prior legal qualifications, such as a law degree (BA with Law), GDL, or LPC in order to determine the appropriate level of exemption.
- 5. When applying for a CLC first qualifying licence, candidates must provide certificated copies of ALL of their Level 3, 4, 6 and 7 educational certificates

#### G. How to claim exemptions

Candidates with other legal qualifications exempting them from part or all of the Level 4 or Level 6 SQA Diploma should apply for a CLC Technician Registration or first qualifying licence using standalone SQA unit certificates. In these circumstances, there is no requirement for training providers to enter and result exempt units or the Diploma. Should candidates request a diploma certificate, or if you are exempting a candidate from an accounts unit (see section E), the following applies:

- To claim exemption, candidates must provide their chosen training provider with evidence of prior achievement at the equivalent or higher level than the exemption being claimed for on the SQA diplomas, i.e. a copy of the qualification certificate and transcript evidencing that the candidate PASSED all units and electives that they are intending to claim exemption for.
- 2. Candidates cannot be awarded an SQA diploma based on being entered as exempted for every unit.
- 3. Candidates MUST be assessed for a minimum of ONE unit using an SQA assessment carried out by the centre in order to be entered and resulted for an SQA diploma (group award). When claiming exemption towards an SQA unit, the training provider should complete the 'Exemptions from SQA CLC Diplomas' Form and submit this to SQA along with evidence of prior achievement, e.g. a copy of the qualification certificate and transcript. In addition, the training provider should **enter and result** the candidate for the relevant SQA unit as detailed in the exemptions tables. The 'Exemption from SQA CLC Diplomas' Form should be submitted to SQA the same day as the entry and result is processed to avoid being charged for the unit.

#### H. Documentation to be retained for external verification

Centres should retain all documentation relating to the legal qualification in question (qualification certificate and transcript) as evidence of exemption from an SQA unit(s).

This documentation must be retained and available for the next verification visit by an SQA external verifier.

**Further information.** If you have any questions about these arrangements, please get in touch — mycentre@sqa.org.uk; 0303 333 0330. A list of SQA unit codes can be found here.

Typical routes to qualify

	Step 1	Step 2	Step 3	Step 4	Step 5
	Prior achievements	Mandatory education	CLC	Mandatory	CLC Licence
		requirements	Technician	education	
		SQA level 4		requirements	
				SQA level 6	
1	None	SQA Diploma Level 4 (Conveyancing			
		or Probate)			
2		SQA Diploma Level 4 standalone units:			
		Conveyancing:			Application
	Lagal Appropriation	Understanding Accounting			for a CLC First
	Legal Apprenticeship at Level 3 (Conveyancing or	Procedures for Conveyancing	Application	SQA Diploma	Qualifying
	Probate pathways)	Transactions	for a CLC	Level 6	Licence
		Probate:	Technician	(Conveyancing	(Conveyancing
		Understanding Accounting	Registration	or Probate)	or Probate)
		Procedures for Probate Transactions	(Conveyancing		
3	CILEx qualifications at	SQA Diploma Level 4 standalone units:	or Probate)		
	Level 3 or 4 that include	Conveyancing:			

	units aligned to the	• Standard Conveyancing Transactions			
	following SQA Level 4	Understanding Accounting			
	units:	Procedures for Conveyancing			
		Transactions			
	• The English Legal	Probate:			
	System;	• Law of Wills, Succession and Grants			
	• Law of Contract; and	of Representation	Application	SQA Diploma	Application
	• Land Law	Understanding Accounting	for a CLC	Level 6	for a CLC First
		Procedures for Probate	Technician	(Conveyancing	Qualifying
		Transactions	Registration	or Probate)	Licence
4	PASSED units of an		(Conveyancing		(Conveyancing
	<i>incomplete</i> law degree —	SQA Diploma Level 4 standalone units:	or Probate)		or Probate)
	<i>units</i> PASSED at	Conveyancing:			
	undergraduate year 1 or 2	• Standard Conveyancing Transactions			
	at Level 4 and 5 aligned to	Understanding Accounting			
	the following SQA Level 4	Procedures for Conveyancing			
	units:	Transactions			
		Probate:			
	• The English Legal	• Law of Wills, Succession and Grants			
	System;	of Representation			
	• Law of Contract; and				

	• Land Law <i>Note:</i> verification of the <i>PASSED</i> units must be provided by the awarding <i>HEi</i>	Understanding Accounting     Procedures for Probate     Transactions	Application for a CLC Technician Registration (Conveyancing or Probate)	SQA Diploma Level 6 (Conveyancing or Probate)	Application for a CLC First Qualifying Licence (Conveyancing or Probate)
5	NALP Level 4 Diploma in Paralegal Studies (including PASSED Part 1 and Part 2)	<ul> <li>SQA Diploma Level 4 standalone units:</li> <li>Conveyancing:</li> <li>Understanding Accounting Procedures for Conveyancing Transactions</li> </ul>			

		ProbateApplication• Understanding Accountingfor a CLCProcedures for ProbateTechnicianTransactionsRegistration(Conveyancing or Probate)	
	by an "Authorised Person". A	ce in relevant employment supervised A statement of practical experience nust be completed before applying.	Application for a CLC First Qualifying
6	Law degree (and BA with Law) or GDL	No SQA Level 4 requirements (progress straight to Level 6)	Licence (Conveyancing or Probate)
	Legal Apprenticeships L4 or 5 (conveyancing or probate pathways)	SQA Diploma Level 6 (Conveyancing or Probate)	
8	STEP Advanced Certificates (England and Wales) in:	No SQA Level 4 requirements (progress straight to Level 6)	)
	Administration of Estates; or	<ul><li>SQA Diploma Level 6 standalone units:</li><li>Managing Client and Office Accounts (Probate)</li></ul>	
	Administration of Trusts.	Law of Wills, Succession and Grants of Representation	

9	<ul> <li>STEP Advanced Certificates</li> <li>(England and Wales) in:</li> <li>Will Preparation, and</li> <li>Administration of</li> <li>Estates; or</li> </ul>		
10	Administration of Trusts.     NALP Level 7 Diploma in     Paralegal Practice     (conveyancing/succession     electives).	<ul> <li>No SQA Level 4 requirements (progress straight to Level 6)</li> <li>SQA Diploma Level 6 standalone unit:</li> <li>Managing Client and Office Accounts (Conveyancing or Probate)</li> </ul>	Application for a CLC First Qualifying Licence (Conveyancing or Probate)
11	Law degree, LLB (and BA with Law) or GDL) <i>AND</i> an LPC ( <u>excluding</u> (or failed) any of the following core and elective units	<ul> <li>No SQA Level 4 requirements (progress straight to Level 6)</li> <li>SQA Diploma Level 6 standalone units (Conveyancing) as appropriate; or the entire group award when the candidate has not passed the property pathway on the LCP:</li> <li>Landlord and Tenant</li> <li>Conveyancing Law and Practice</li> <li>Managing Client and Office Accounts (Conveyancing)</li> </ul>	

	(i) Property Law and		
	Practice (ii) Advanced	SQA Diploma Level 6 (Probate) standalone units as appropriate; or the	
	Commercial Property	entire group award when the candidate has not completed the private	
	OR	client pathway on the LCP:	
	(iii) Wills and	Administration of Estates	
	Administration of Estates		
	AND	Law of Will Succession and Grants of Representation	
	(iv) Solicitors Accounts	Managing Client and Office Accounts (Probate)	Application
			for a CLC First
12	CILEx qualifications at		Qualifying
	Level 3, 4 and 6 that		Licence
	include units aligned to		(Conveyancing
	the following SQA units at		or Probate)
	Level 4	No SQA Level 4 requirements (progress straight to L6)	
	• The English Legal		
	System;	SQA Diploma Level 6 (Conveyancing or Probate) standalone unit(s): those	
	• Law of Contract; and	not equivalent to the Level 6 exemption	
	• Land Law		
	And one of the below at		
	Level 6		

13	<ul> <li>Administration of Estates; or</li> <li>Will Succession and Grants of Representation; or</li> <li>Conveyancing Law and Practice</li> <li>Dual/Cross qualifying lawyers With a current and valid practice licence but without conveyancing or probate electives.</li> <li>Solicitors</li> <li>FCILEx, and Ilex lawyers</li> <li>F.NALP and F.P.NALP lawyers</li> </ul>	<b>No SQA Level 4 requirements (progress straight to L6)</b> SQA Diploma Level 6 (Conveyancing or Probate) Exempted, the unit Managing Client and Office Accounts	Application for a CLC First Qualifying Licence (Conveyancing or Probate)
	One year or 1200 hours of practical experience in relevant employment supervised by an 'Authorised Person'. A statement of practical experience (Conveyancing or Probate) must be completed before applying for CLC first qualifying licence <i>Important note: Exemptions for qualified lawyers ONLY apply</i> <i>AFTER the candidate is a CLC licence holder.</i>		